

# Metropolitan Transportation Commission Programming and Allocations Committee

December 12, 2007

Item Number 2c

## Resolution Nos. 3733, Revised and 3838

**Subject:** FYs 2005-06 through 2007-09 FTA Section 5311 Program of Projects

**Background:** The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the nonurbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC annually develops a regional Program of Projects (POP) for submittal to Caltrans. Caltrans then submits a statewide POP to FTA for approval. MTC's objective is to program operating and capital funds to maintain needed transit services in the rural areas of the MTC region.

### Funding Availability

Annually, Caltrans provides MTC with regional apportionment information. The estimated amount of Nonurbanized Area funds available for the MTC region during the two-year period is \$2.8 million total, of which \$1.7 million remains to be programmed. The estimated amounts are as follows:

	<u>FY 2007-08</u>	<u>FY 2008-09</u>
Estimated Apportionment	\$1,276,349	\$ 1,349,626
Prior Year Carryover	<u>\$ 195,245</u>	<u>\$ 0</u>
Total Funding Available	\$1,471,594	\$ 1,349,626
Committed Programming	<u>(\$1,103,490)</u>	<u>\$ 0</u>
<b>Available for Programming</b>	<b>\$ 368,104</b>	<b>\$ 1,349,626</b>

### Fund Programming

The MTC regional Section 5311 Program of Projects is a multi-year programming effort. The FY 2007-08 program is a fixed year, and this programming will be amended into the TIP (program amendments for FYI 07-08 included in Resolution No. 3733, revised). FY2008-09 is for planning purposes and subject to revision based on funds actually apportioned (Resolution 3838).

Staff proposes adoption of the program (MTC Resolution No. 3733, Revised, and Resolution 3838), consistent with the priorities outlined in MTC's solicitation for FTA Section 5311 projects, dated November 7, 2007. These priorities are: (1) Sustain existing capital through replacement or rehabilitation; (2) Maintain transit services through operating assistance; (3) Provide preventive maintenance for existing transit equipment; (4) Provide capital and operating for service expansions; and (5) Enhance existing service.

There were not sufficient funds to meet all project requests in FYs 2007-08 and 2008-09; however, there were sufficient funds available to meet all Priority 1 and 2 requests in FY08, and in FY09 to meet all Priority 1 requests and to fund all Priority 2 requests at an equivalent level to the prior year, and partially fund Priority 3 requests. All Priority 4 and 5 requests were deferred.

In line with our objective to maintain and provide for a flexible program, MTC will re-evaluate all future programming prior to finalizing each annual program to ensure that the highest priority projects are funded in a given year. Projects programmed in this action may be de-programmed or deferred, in order to accommodate higher priority projects selected for funding in the annual element. As in prior years, recipients of programmed operating funds should continue to seek other fund sources for operations, as continued Section 5311 funding cannot be guaranteed.

- Issues:** Staff recommends that any remaining funds be carried forward for future programming.
- Recommendation:** Refer MTC Resolution Nos. 3733, Revised and 3838 to the Commission for approval.
- Attachments:** MTC Resolution Nos. 3733, Revised and 3838

Date: December 21, 2005  
W.I.: 1512  
Referred By: PAC  
Revised: 12/20/06-C  
06/27/07-C  
12/19/07-C

ABSTRACT

Resolution No. 3733, Revised

This resolution adopts the FY 2005-06 through FY 2007-08 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

This resolution was revised on December 20, 2006 to reflect three programming changes: 1) a change from capital to operating for Rio Vista; 2) a reconciliation of funds to actual expenditures for Marin County Stagecoach; and 3) an increase in funds for the City of Vallejo.

This resolution was revised on June 27, 2007, to reflect two programming changes: 1) a change from capital to operating for Vallejo; and 2) advancement of Sonoma County project from FY07-08 to FY06-07. This advancement reduces the carryover available in FY06-07.

This resolution was revised on December 19, 2007 to reflect three programming changes in FY07-08: 1) increase to the amount of operating assistance for Rio Vista, 2) increase the amount of operating assistance for Marin County Transit, and 3) add a new project Operating Assistance for Fairfield/Suisun Transit. This reduces the amount of carryover available in FY07-08.

Further discussions of these actions are contained in the MTC "Executive Director's Memorandum" and the Programming and Allocation Committee Summary dated June 27, 2007 and December 12<sup>th</sup>, 2007.

**Federal Transit Administration**  
**Section 5311 Nonurbanized Area Formula Program**  
**Project Proposals - FY 2005-06 to FY 2007-08**

**FY 2005-06 Funding Available:**

Apportionments (Act.)	1,307,081
Prior Year Carryover:	<u>161,195</u>
Total Funding Avail.	<u>1,468,276</u>

**FY 2005-06 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Project Type</u>	<u>Sect. 5311 Program</u>	<u>Sect. 5311 Request</u>	<u>Total Project Including Match</u>
Dixon	Replacement Vehicle	Cap	60,000	60,000	75,000
Napa	Up Valley Commun. System	Cap	20,000	20,000	25,000
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
Sonoma County	Replacement Vehicles	Cap	115,200	115,200	144,000
Sonoma County	Surveillance equipment	Cap	83,000	83,000	119,000
VTa	Preventive Maintenance	Cap	150,000	150,000	762,160
Marin County	W. Marin Stagecoach Svc <sup>(2)</sup>	Oper	37,722	37,722	226,422
Marin County	Route 63, Wkend/Seas. Oper	Oper	42,940	42,940	98,991
Rio Vista	Operating Assistance <sup>(1)</sup>	Oper	130,000	130,000	220,000
SamTrans/Coastside	Operating Assistance	Oper	132,455	132,455	264,910
Sonoma County	Operating Assistance	Oper	129,000	129,000	613,672
Vallejo	Operating Assistance <sup>(4)</sup>	Oper	<u>199,288</u>	<u>199,288</u>	<u>683,153</u>
Total Programming			1,099,605	1,099,605	3,232,308
Total Available			<u>1,468,276</u>		
Available for Carryover			368,671		

**FY 2006-07 Funding Available:**

Apportionments (Est.)	1,179,369
Prior Year Carryover:	<u>368,671</u>
Total Funding Avail.	<u>1,548,040</u>

**FY 2006-07 Programming:**

<u>Applicant</u>	<u>Description</u>	<u>Project Type</u>	<u>Sect. 5311 Program</u>	<u>Sect. 5311 Request</u>	<u>Total Project Including Match</u>
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
Sonoma County	Replacement Vehicles	Cap	240,000	240,000	312,000
VTa	Preventive Maintenance	Cap	155,250	155,250	773,633
Sonoma County	Replacement Vehicles <sup>(3)</sup>	Cap	123,600	123,600	156,000
Marin County	Expand W. Marin Stagecoach	Oper	332,379	332,379	704,758
Rio Vista	Operating Assistance <sup>(1)</sup>	Oper	75,000	75,000	167,500
SamTrans/Coastside	Operating Assistance	Oper	131,350	131,350	262,700
Sonoma County	Operating Assistance	Oper	132,870	132,870	632,083
Vallejo	Operating Assistance	Oper	<u>162,346</u>	<u>162,346</u>	<u>390,606</u>
Total Programming			1,352,795	1,352,795	3,399,280
Total Available:			<u>1,548,040</u>		
Available for Carryover			195,245		

**FY 2007-08 Funding Available:**

<b>Apportionments (Est.)</b>	<b>1,276,349</b>
<b>Prior Year Carryover:</b>	<b><u>195,245</u></b>
<b>Total Funding Avail.</b>	<b>1,471,594</b>

**FY 2007-08 Programming:**

<u>Applicant</u>	<u>Description</u>	<u>Project Type</u>	<u>Sect. 5311 Program</u>	<u>Sect. 5311 Request</u>	<u>Total Project Including Match</u>
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
VTA	Preventive Maintenance	Cap	159,908	159,908	796,842
Marin County	Expand W. Marin Stagecoach <sup>(7)</sup>	Oper	342,350	368,476	707,161
Napa	Up Valley - Transit Operations	Oper	81,000	81,000	162,000
Rio Vista	Operating Assistance <sup>(1,6)</sup>	Oper	75,000	149,692	234,384
SamTrans/Coastside	Operating Assistance	Oper	138,650	138,650	277,300
Sonoma County	Operating Assistance	Oper	136,856	136,856	651,045
Fairfield/Suisun Transit	Operating Assistance	Oper	102,095	102,095	184,521
Vallejo	Operating Assistance <sup>(3)</sup>	Oper	<u>186,493</u>	<u>186,493</u>	<u>414,753</u>
Total Programming			1,222,352	1,323,170	3,428,006
Total Available:			<u>1,471,594</u>		
Available for Carryover			249,242		

**FY 2008-09 Funding Available:**

<b>Apportionments (Est.)</b>	<b>1,349,626</b>
<b>Prior Year Carryover:</b>	<b><u>249,242</u></b>
<b>Total Funding Avail.</b>	<b>1,598,868</b>

**NOTES:**

- (1) Rio Vista will use \$60,000 in funds previously programmed for Bus Shelters for Operating Expenditures.
- (2) Marin County Stagecoach Operating Expenses reduced to reflect only 3 months of FY 2005-06 expenses. Further funding will be reported with Expanded W. Marin Stagecoach project.
- (3) Vallejo's operating expenses for FY 2007-08 increase to the full amount of their previous request now that sufficient funds are available.
- (4) Vallejo will use \$60,000 previously programmed to vehicle replacement for operating in FY05-06.
- (5) Sonoma County FY07-08 Vehicle Replacement capital project (\$123,600) is advanced to FY06-07.
- (6) Rio Vista Operating Assistance increased from \$65,000 to \$75,000 per FY08/FY09 Call for Projects
- (7) Marin County Transit Operating Assistance increased from \$335,583 to 368,476 per FY08/FY09 Call for Projects

Date: December 19, 2007  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 3838

This resolution adopts the FY 2008-09 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

Further discussions of these actions are contained in the MTC the Programming and Allocation Committee Summary dated December 12, 2007.

Date: December 19, 2007  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY 2008-09 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3838

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act (FTA) provides a formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has developed, in consultation with interested transportation providers, a FY 2008-09 FTA Nonurbanized Area Formula Program of Projects for the San Francisco Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2008-09 FTA Nonurbanized Area Formula Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that MTC intends, subject to appropriate project review, to approve regional, state and federal assistance for projects that are identified on Attachment A; and, be it further

RESOLVED, that MTC intends to develop a multi-year program for Nonurbanized Area Formula funds based on the projects that are identified on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY 2008-09 Program of Projects as listed on Attachment A to match the actual FTA Nonurbanized Area Formula fund appropriation; and be it further

RESOLVED, that Attachment A may be revised from time to time by approval of MTC's Programming and Allocations Committee.

METROPOLITAN TRANSPORTATION COMMISSION

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Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on December 19, 2007.



Date: December 19, 2007  
W.I.: 1512  
Referred by: PAC  
Revised:

Attachment A  
Resolution No. 3838  
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**Federal Transit Administration**  
**Section 5311 Nonurbanized Area Formula Program**  
**Project Proposals - FY 2008-09**

**FY 2008-09 Funding Available:**

Apportionments (Est.)	\$	1,349,626
Prior Year Carryover:	\$	249,243
Total Funding Avail.	\$	1,598,869

**FY 2008-09 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Project Type</u>	<u>Sect. 5311 Program</u>	<u>Sect. 5311 Request</u>	<u>Total Project Including Match</u>
Dixon	Vehicle replacement	Cap	\$ 120,000	\$ 120,000	\$ 150,000
Rio Vista	Operating Assistance	Oper	\$ 76,949	\$ 149,692	\$ 299,384
Sonoma County Transit	Operating Assistance	Oper	\$ 140,962	\$ 140,962	\$ 670,577
Sonoma County Transit	Vehicle replacement	Cap	\$ 381,000	\$ 381,000	\$ 461,010
SamTrans	Coastside Operating Assistance	Oper	\$ 134,323	\$ 134,323	\$ 268,647
Marin County Transit	Operating Assistance	Oper	\$ 352,418	\$ 437,975	\$ 833,592
VTA	Preventive Maintenance	Cap	\$ 95,972	\$ 300,000	\$ 920,729
Fairfield/Suisun	Operating Assistance	Oper	\$ 105,158	\$ 108,583	\$ 196,247
Vallejo	Operating Assistance	Oper	\$ 192,088	\$ 199,548	\$ 780,889
Total Programming			\$ 1,598,869	\$ 1,972,083	\$ 4,581,075
Total Available			\$ 1,598,869		
Available for Carryover			\$ (0)		